

JRW Advisory Services

Privacy Policy

We respect the privacy of all clients and prospective clients (collectively termed “clients” per privacy regulations), both past and present. It is recognized that our clients have entrusted our firm with non-public personal information and it is important that both access persons and clients are aware of firm policy concerning what may be done with that information.

The firm collects personal information about clients from the following sources:

- Information clients provide to complete their financial plan or investment recommendation;
- Information clients provide in engagement agreements and other documents completed in connection with the opening and maintenance of an account;
- Information clients provide verbally; and
- Information received from service providers, such as custodians, about client transactions.

The firm does not disclose non-public personal information about our clients to anyone, except in the following circumstances:

- When required to provide services our clients have requested;
- When our clients have specifically authorized us to do so;
- When required during the course of a firm assessment (i.e., independent audit); or
- When permitted or required by law (i.e., periodic regulatory examination).

To ensure security and confidentiality, the firm maintains physical, electronic, and procedural safeguards to protect the privacy of client information. Within the firm, access to client information is restricted to personnel that need to know that information. All access persons and service providers understand that everything handled in firm offices are confidential and they are instructed not to discuss client information with someone else that may request information about an account unless they are specifically authorized in writing by the client to do so. This includes providing information about family members’ accounts.

Our firm will provide clients with our privacy policy currently in effect upon request by the client, on an annual basis and at any time, in advance, if firm privacy policies are expected to change.

(720) 253-0682

TimB@JRWAS.com

6422 S Quebec Street, Bldg. 4, Centennial, CO 80111

www.JRWAS.com

<https://www.linkedin.com/in/timbalf/>